

# String Diagrams for Elementary Category Theory

## 3: Adjunctions

Dan Marsden  
Based on joint work with Ralf Hinze

April 4, 2023

## Adjunctions

Given a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$ , is there a way of traveling back in the other direction?

1. If we want to get back to exactly where we started, We could just ask for an inverse functor

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2. If just want to get back to somewhere isomorphic to our starting point, We could require a functor  $G : \mathcal{D} \rightarrow \mathcal{C}$  such there are natural isomorphisms

$$F \circ G \xrightarrow{\sim} \text{Id} \quad \text{and} \quad \text{Id} \xrightarrow{\sim} G \circ F.$$

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3. If we only require that there is a morphism relating the start and end points of a round trip, there are two sensible choices:

$$F \circ G \rightarrow \text{Id} \quad \text{and} \quad \text{Id} \rightarrow G \circ F$$

$$F \circ G \leftarrow \text{Id} \quad \text{and} \quad \text{Id} \leftarrow G \circ F$$

# Adjunctions

Convenient graphical definition

## Definition

Functor  $L : \mathcal{D} \rightarrow \mathcal{C}$  is left adjoint to functor  $R : \mathcal{C} \rightarrow \mathcal{D}$ , written

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# Adjunctions

Convenient graphical definition

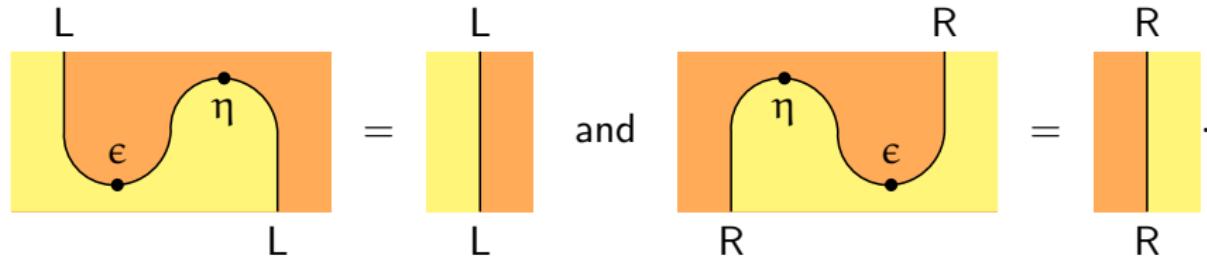
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such that the following **snake equations** hold:



## Adjoints

### Example

Let **Mon** be the category of monoids and monoid homomorphisms.

- ▶ There is a forgetful functor  $U : \mathbf{Mon} \rightarrow \mathbf{Set}$  such that  $U(X, 1, \times) \mapsto X$ .

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$$\eta_X x = [x].$$

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- ▶ There is a functor  $F : \mathbf{Set} \rightarrow \mathbf{Mon}$  mapping set  $X$  to the monoid with underlying set  $L(X)$ , unit the empty list, and multiplication list concatenation.
- ▶ There is a unit  $\eta : \text{Id} \rightarrow UF$  with component at set  $X$

$$\eta X x = [x].$$

- ▶ There is a counit  $\epsilon : FU \rightarrow \text{Id}$ , with component at monoid  $(X, 1, \times)$

$$\epsilon(X, 1, \times)[x_1, \dots, x_n] = 1 \times x_1 \times \dots \times x_n.$$

## Adjoints are canonical

Right adjoints are determined up to isomorphism

Let  $L_1 \dashv R_1, L_2 \dashv R_2 : \mathcal{C} \rightharpoonup \mathcal{D}$  be two parallel adjunctions. Then

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If  $\sigma$  witnesses the isomorphism of the left adjoints, then the natural isomorphism for the right adjoints is given by two “fake” snakes:

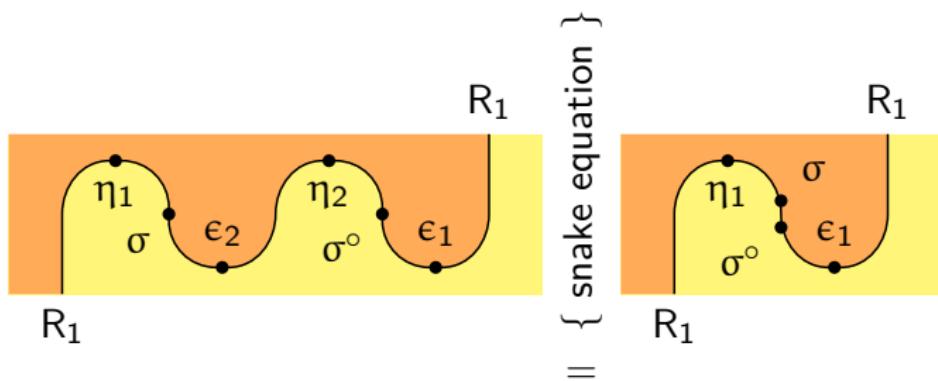


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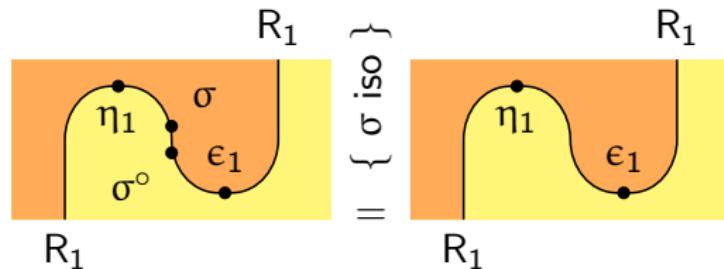


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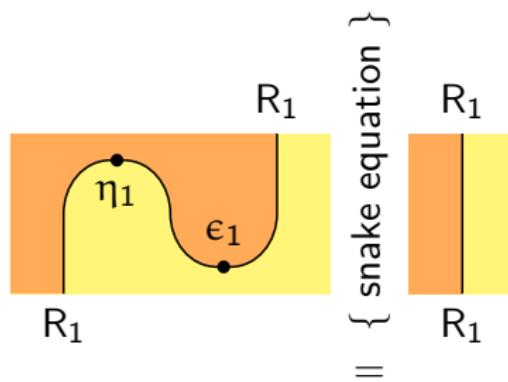


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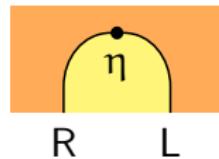
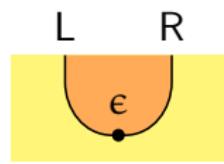
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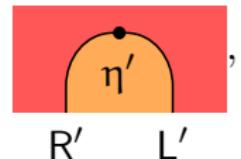
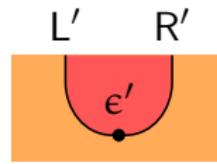


## Adjoints compose

Given a pair of adjunctions with units and counits,



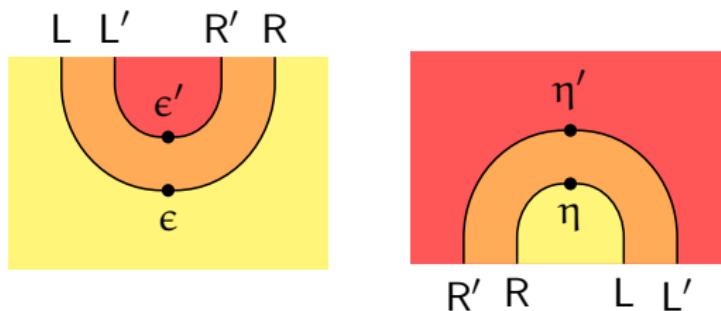
and



then  $L \circ L' \dashv R' \circ R$ .

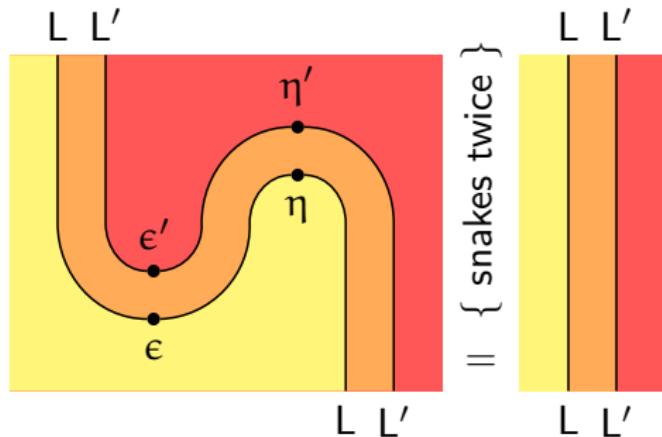
## Adjoints compose

We can build candidate cups and caps by nesting:



# Adjoints compose

The first equation is shown as follows:



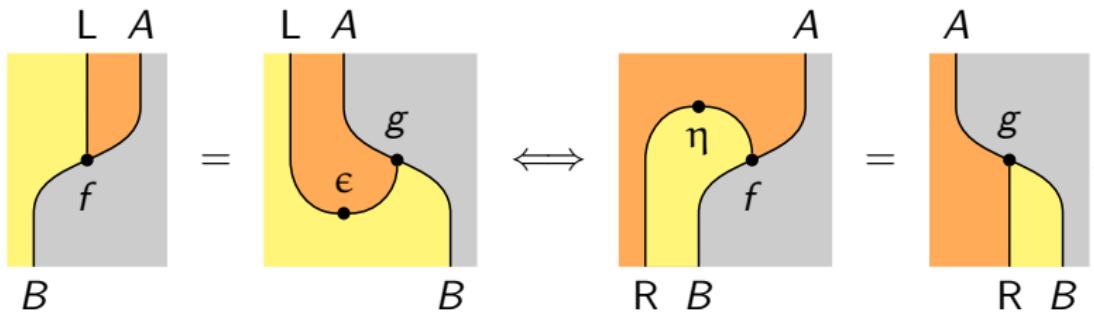
## Adjoints compose

The second snake equation follows similarly:

$$\begin{array}{c} R' R \\ \eta' \\ \eta \\ \epsilon' \\ \epsilon \\ R' R \end{array} \quad \begin{array}{c} \{ \text{ snakes twice } \} \\ = \\ \begin{array}{c} R' R \\ R' R \end{array} \end{array}.$$

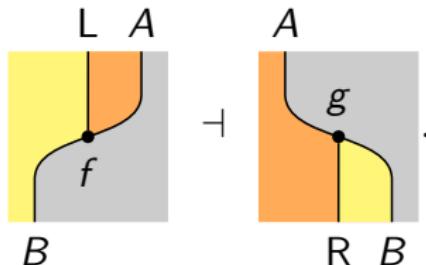
## Adjunctions and bending wires

For an adjunction  $L \dashv R : \mathcal{C} \rightleftarrows \mathcal{D}$ , by “bending wires” using the cup and cap, we have the following relationship:



## Adjunctions and bending wires

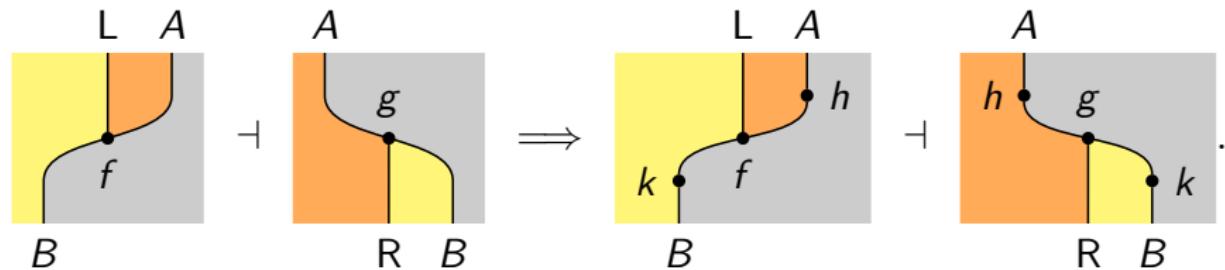
This “wire bending” establishes a bijection between morphisms of types  $L A \rightarrow B$  and  $A \rightarrow R B$ . We shall write  $f \dashv (L \dashv R) g$  if  $f$  and  $g$  are related by this bijection, or  $f \dashv g$  if the adjunction is clear from the context. Pictorially:



We say  $f$  is the **left transpose** of  $g$ , and  $g$  is the **right transpose** of  $f$ .

# Adjunctions and bending wires

The “wire bending” relationship is natural in the sense that:



## Adjunctions and bending wires

We have shown that taking transposes yields a *natural* bijection between collections of arrows:

$$LA \rightarrow B : \mathcal{C} \quad \cong \quad A \rightarrow RB : \mathcal{D}.$$

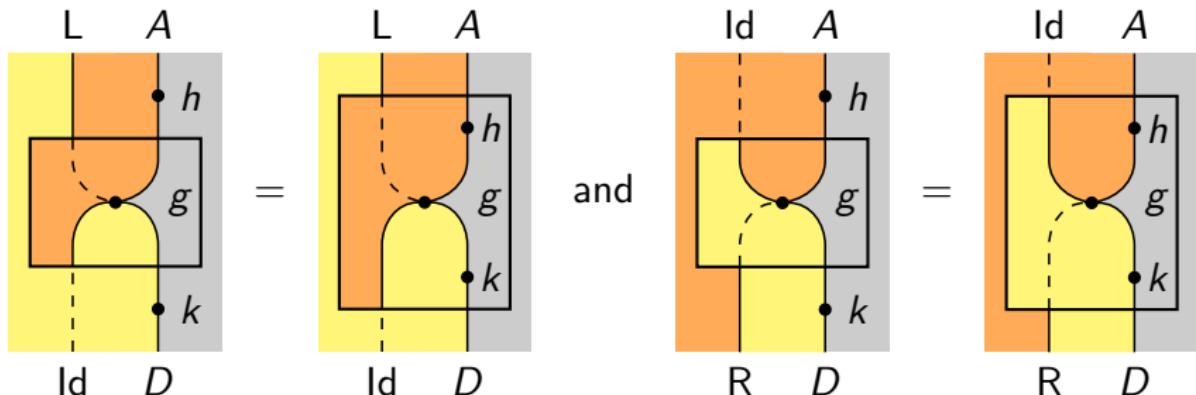
The maps witnessing the bijection, written  $[-]$  and  $[-]$ , are called **adjoint transpositions**:

$$\frac{f : LA \rightarrow B : \mathcal{C}}{[f] : A \rightarrow RB : \mathcal{D}} \qquad \frac{[g] : LA \rightarrow B : \mathcal{C}}{g : A \rightarrow RB : \mathcal{D}}.$$

In fact, having such a bijection is equivalent to our previous definition of adjunction.

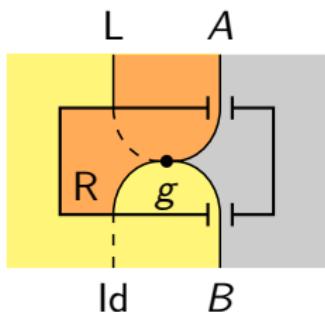
## Transposes yield cups caps and snake equations

We can introduce a box notation to denote transposition maps in string diagrams:

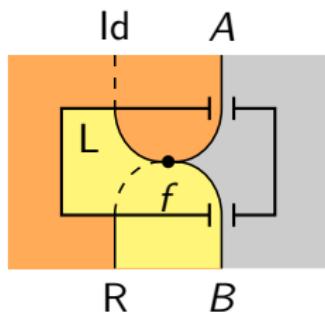


## Transposes yield cups caps and snake equations

A more suggestive rendition highlights the naturality property:

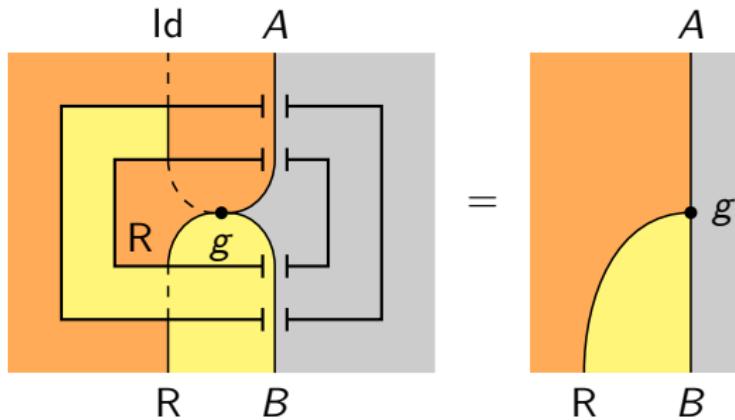


and



## Transposes yield cups caps and snake equations

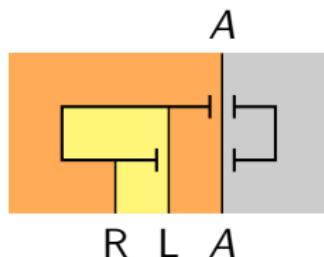
Pictorially, the fact that transpositions are mutually inverse appears as:



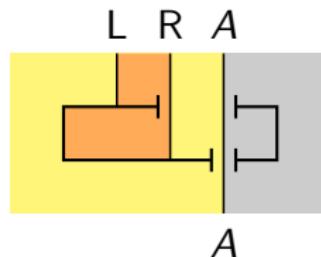
(and the similar equation when the transpositions are applied in the other order).

## Transposes yield cups caps and snake equations

We can then define the components of putative units and counit *componentwise* as follows:

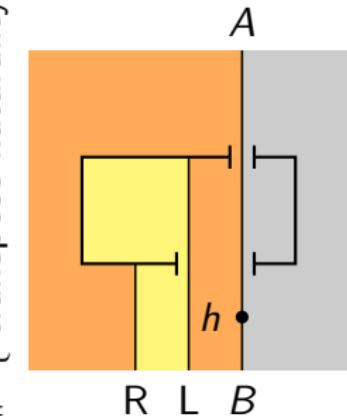
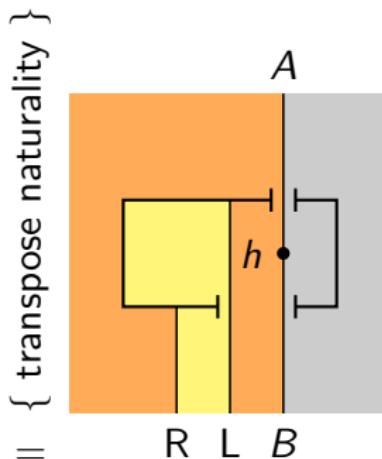
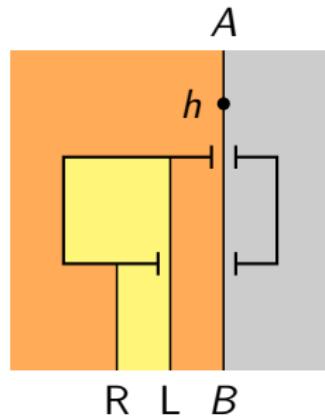


and



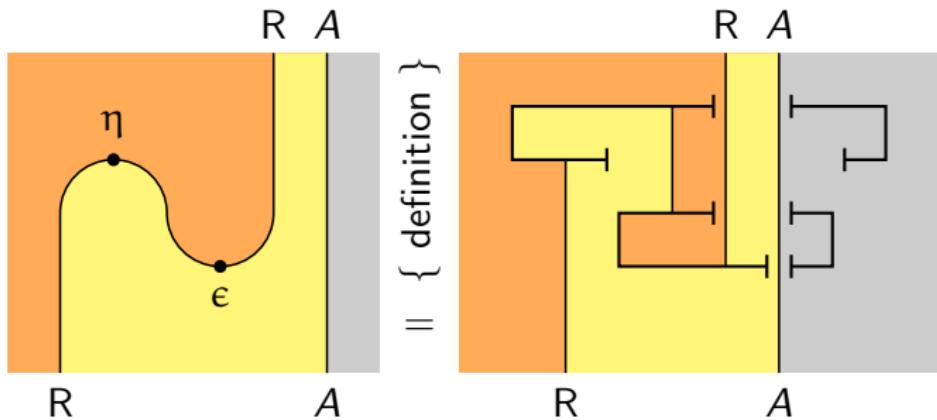
# Transposes yield cups caps and snake equations

Naturality of the unit is easy to verify:

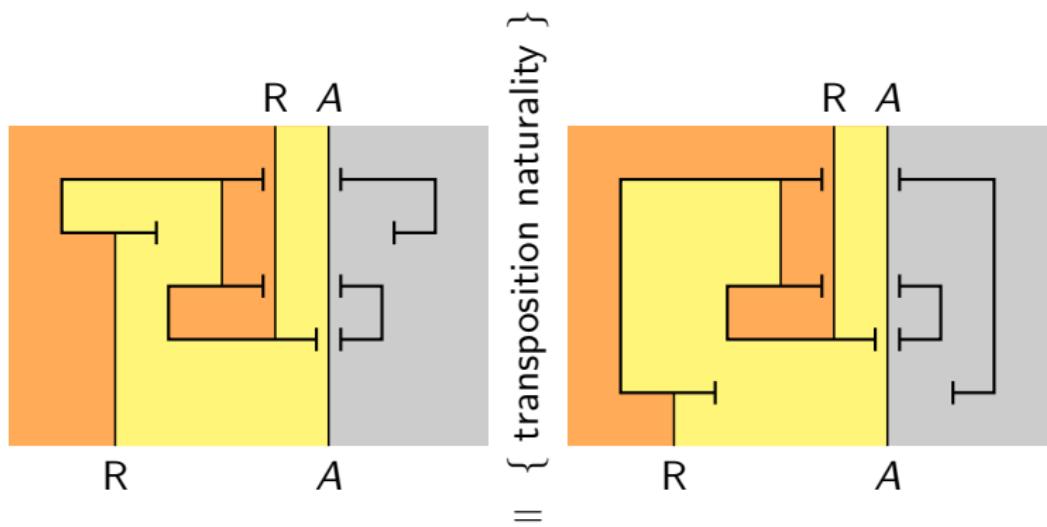


The naturality of the counit is verified similarly.

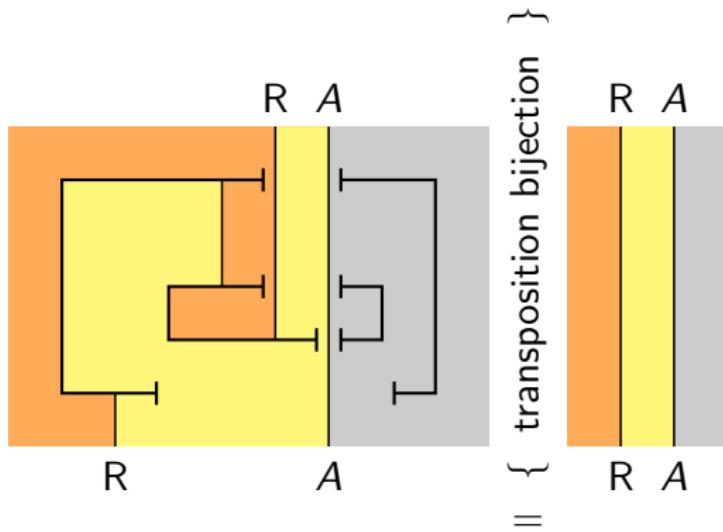
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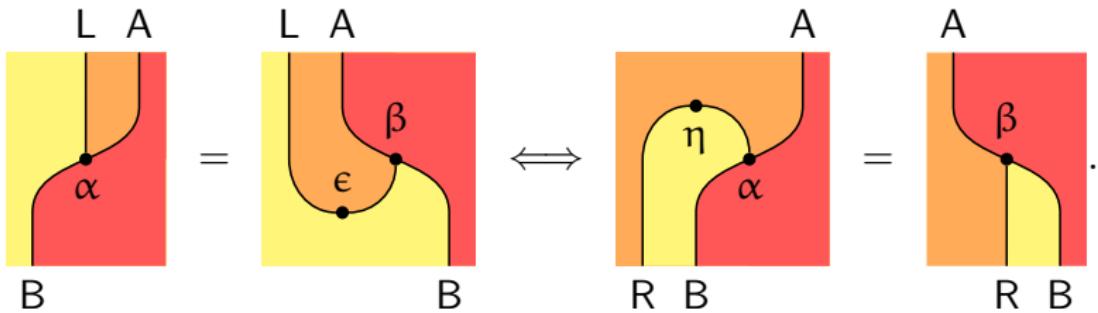
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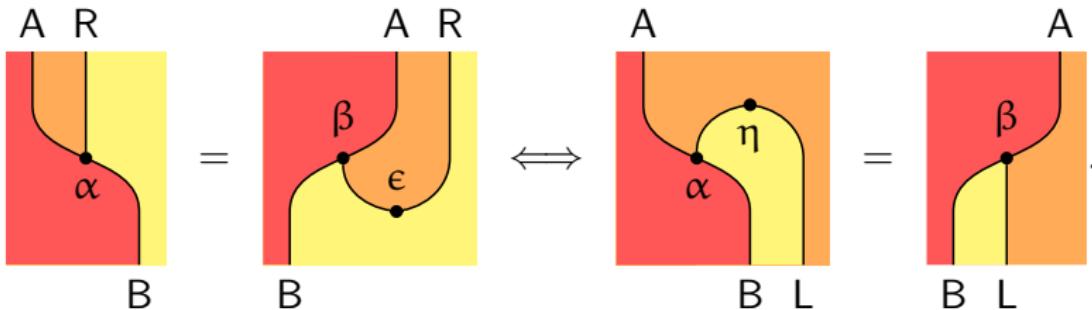
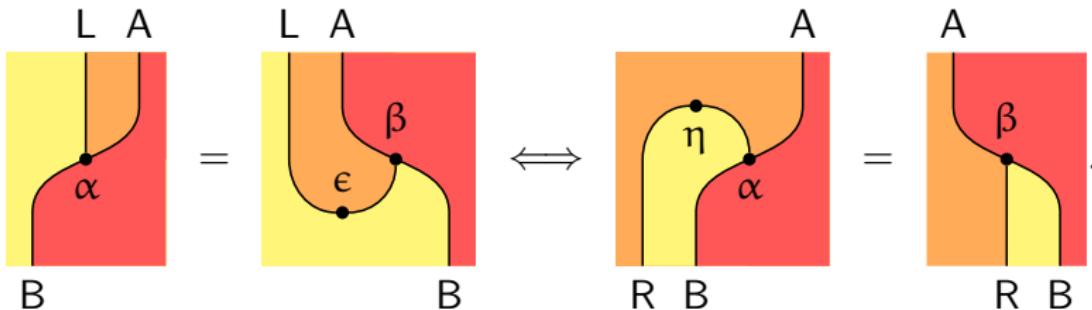
# Transposes yield cups caps and snake equations



## Lifting adjunctions



# Lifting adjunctions



## Huber's construction

Recall a monad on  $\mathcal{C}$  consists of an endofunctor  $M : \mathcal{C} \rightarrow \mathcal{C}$ , and unit and multiplication natural transformations:

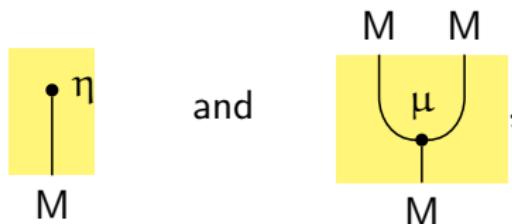
$$\eta : M \rightarrow M \quad \text{and} \quad \mu : M \otimes M \rightarrow M.$$

such that:

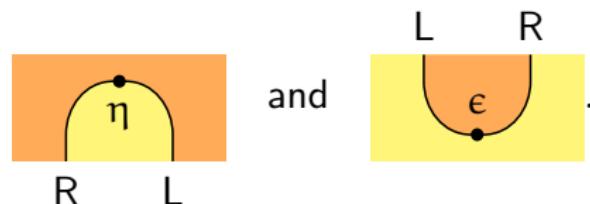
$$\eta \circ \mu = \text{id}_M \quad \text{and} \quad \mu \circ \eta = \text{id}_{M \otimes M} = \mu \circ \mu.$$

## Huber's construction

Now assume we have a monad with unit and multiplication:



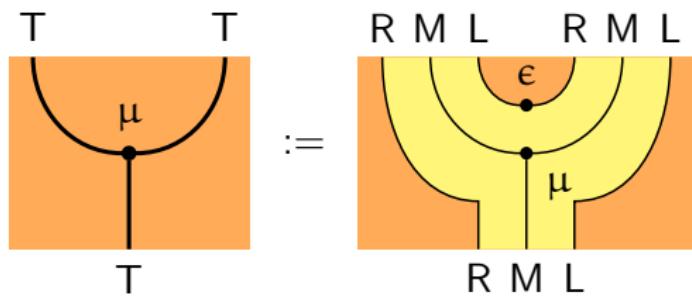
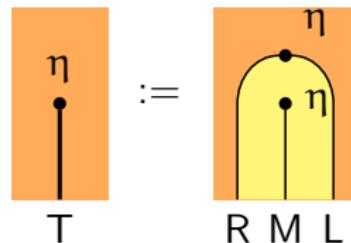
and an adjunction  $L \dashv R : \mathcal{C} \rightleftarrows \mathcal{D}$  with unit and counit:



Is there a natural way to build a monad on  $T := R \circ M \circ L$ ?

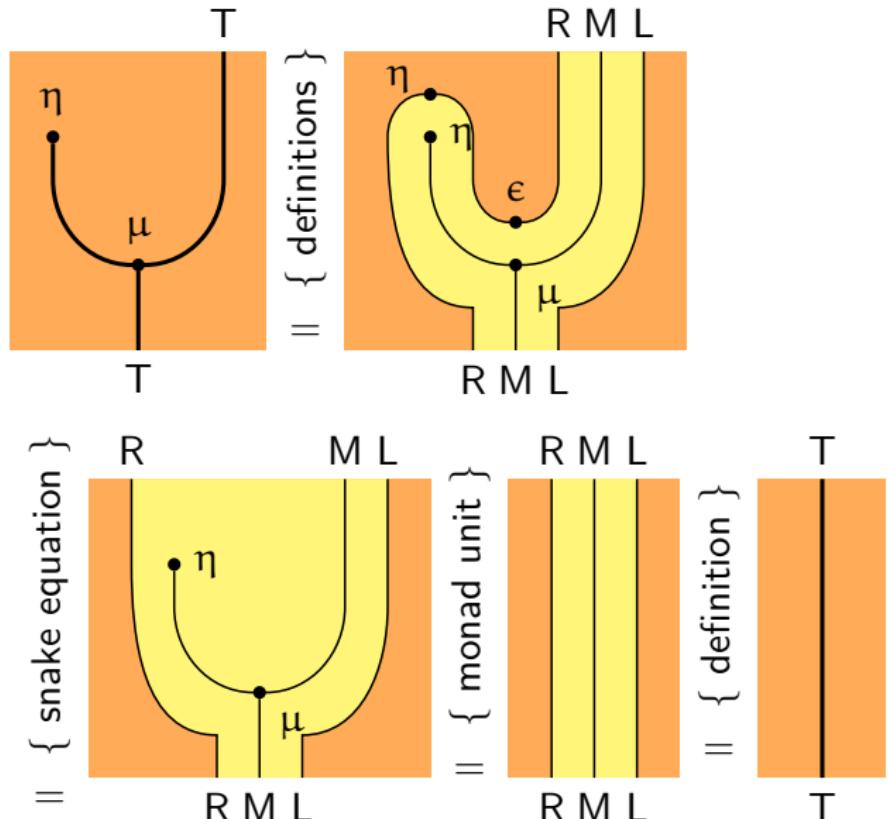
## Huber's construction

It seems natural to form the composites:

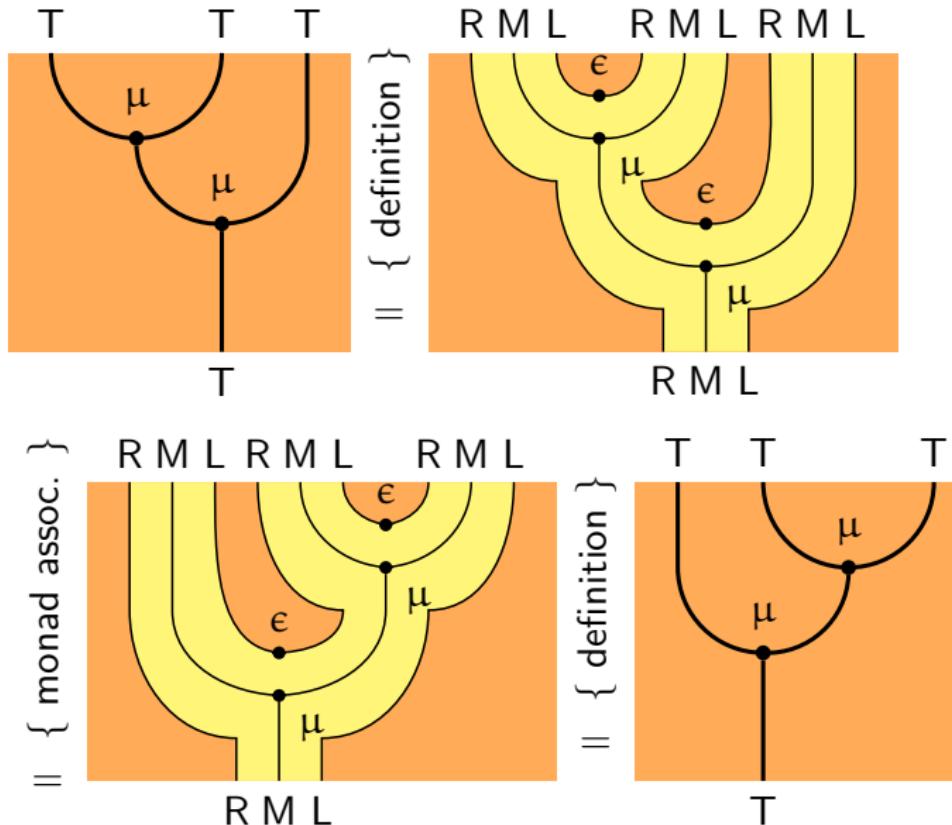


## Huber's construction

To verify one of the monad unit axioms, we calculate:

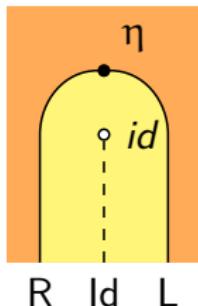


# Huber's construction

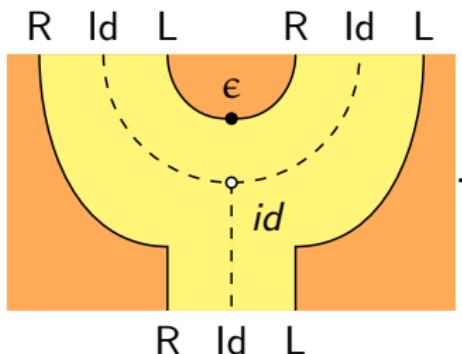


## Huber's construction

Special case, every adjunction induces a monad with unit and multiplication:

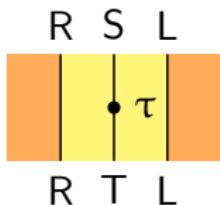


and



## Huber on monad morphisms

Given a monad morphism  $\tau : S \rightarrow T$ , we might expect the composite



is also a monad morphism.

# Huber on monad morphisms

Verifying the unit axiom:

$$\begin{array}{c} \eta \\ \eta \\ \tau \end{array} \quad \begin{array}{c} \{\text{m. m. unit}\} \\ = \\ \{\text{m. m. unit}\} \end{array} \quad \begin{array}{c} \eta \\ \eta \\ \tau \end{array},$$

Diagram illustrating the unit axiom. The left side shows a vertical stack of three nodes labeled  $\eta$  (top),  $\eta$  (middle), and  $\tau$  (bottom) within a yellow rounded rectangle. The right side shows a vertical stack of three nodes labeled  $\eta$  (top),  $\eta$  (middle), and  $\tau$  (bottom) within a yellow rounded rectangle, preceded by an equals sign and a brace indicating they are equal.

and for the multiplication axiom:

$$\begin{array}{c} \epsilon \\ \epsilon \\ \mu \\ \tau \end{array} \quad \begin{array}{c} \{\text{m. m. mult}\} \\ = \\ \{\text{m. m. mult}\} \end{array} \quad \begin{array}{c} \epsilon \\ \epsilon \\ \mu \\ \tau \end{array}.$$

Diagram illustrating the multiplication axiom. The left side shows a vertical stack of four nodes labeled  $\epsilon$  (top),  $\epsilon$  (middle),  $\mu$  (bottom), and  $\tau$  (bottom) within a yellow rounded rectangle. The right side shows a vertical stack of four nodes labeled  $\epsilon$  (top),  $\epsilon$  (middle),  $\mu$  (bottom), and  $\tau$  (bottom) within a yellow rounded rectangle, preceded by an equals sign and a brace indicating they are equal.

# Huber on monad morphisms

## Example

Units As a special case, we get a monad morphism  
 $R \circ L \rightarrow R \circ M \circ L$ :



Next time

# Applications!